**Instructor Notes and Graphics Suggestions for Compliance Frameworks & Governance Course**

**Slide 1: Course Introduction (2 min)**

* **Instructor Notes:**
  + Greet participants and acknowledge this is a continuation of the GRC Principles course.
  + Emphasize practical application: "We’re moving from the why to the how."
  + Mention integration of global frameworks and their real-world relevance.
* **Graphic Suggestion:**
  + Flowchart showing progression from GRC Principles → Compliance Frameworks → Organizational Practice.

**Slide 2: Frameworks in Practice (15 min)**

* **Instructor Notes:**
  + Briefly recap COSO’s 5 components and 3 categories.
  + Describe OCEG's capability cycle using the “Assess → Proact → Respond” logic.
  + Show ISO’s layering across security, privacy, compliance.
  + Highlight OECD Principle I.F as a modern governance lens.
  + Ask: "Which framework is most embedded in your organization?"
* **Graphic Suggestion:**
  + Comparative matrix: COSO vs. OCEG vs. ISO vs. OECD.
  + Use iconography (shield for ISO, gears for OCEG, network for OECD).

**Slide 3: The Three Lines Model (18 min)**

* **Instructor Notes:**
  + Show evolution from "Three Lines of Defense" to the more integrated "Three Lines Model."
  + Emphasize role clarity and how frameworks align to each line.
  + Prompt: "Where does friction typically occur in your experience?"
* **Graphic Suggestion:**
  + Three-column diagram: First Line (Ops), Second Line (Risk/Compliance), Third Line (Audit) + arrows to/from Governing Body.
  + Integration overlay with COSO/OCEG.

**Slide 4: Compliance Function Supervision (15 min)**

* **Instructor Notes:**
  + Clarify independence expectations (regulatory and practical).
  + Describe dual-reporting structures and assurance mapping.
  + Quote: Gretzky on proactive positioning.
  + Ask: "Who ensures independence and access in your structure?"
* **Graphic Suggestion:**
  + Organizational chart highlighting independent vs. embedded compliance roles.
  + Overlay assurance map with color-coded areas of responsibility.

**Slide 5: Maturity Models & Communities of Compliance (15 min)**

* **Instructor Notes:**
  + Walk through GRC maturity levels (Heroes → Autonomous).
  + Introduce "Communities of Compliance" as decentralized but coordinated structures.
  + Use the global operational risk model diagram.
  + Activity: Rate maturity (1–5) and identify what’s needed to improve.
* **Graphic Suggestion:**
  + Maturity staircase graphic.
  + Show the provided diagram and annotate live (Fatherland → Middle Nowhere etc.).

**Slide 6: Digital Transformation & Emerging Trends (13 min)**

* **Instructor Notes:**
  + Emphasize "Compliance by Design" in tech-driven environments.
  + Explain SupTech and RegTech with examples (dashboards, automation).
  + Connect new regulations (DORA, NIS2) to governance implications.
  + Ask: "Is compliance tech-led, policy-led, or risk-led in your org?"
* **Graphic Suggestion:**
  + Icons: AI, dashboards, locks, data flows.
  + Overlay digital risks on governance structure.

**Slide 7: Integration & Implementation Strategies (7 min)**

* **Instructor Notes:**
  + Focus on embedding rather than layering compliance.
  + Reference the diagram again to show efficient risk aggregation.
  + Share tips: stakeholder coffee chats, celebrate wins, 80/20 rule.
  + Prompt: "What’s one thing you can implement now?"
* **Graphic Suggestion:**
  + Risk integration funnel: local → regional → global.
  + Simple checklist graphic with "quick wins".

**Slide 8: Closing & Next Steps (5 min)**

* **Instructor Notes:**
  + Recap key ideas:
    - Frameworks provide structure, culture drives behavior.
    - Communities of compliance allow global coordination with local adaptation.
    - Integration is a mindset.
  + Ask for 2–3 key takeaways.
  + Share resources and invite questions.
* **Graphic Suggestion:**
  + World map with compliance "nodes" and arrows.
  + Thank you banner with contact info and resource links.

**Supporting Visuals to Prepare Separately:**

1. Framework Integration Map (COSO-OCEG-ISO alignment).
2. Communities of Compliance Diagram (annotated version of uploaded image).
3. GRC Maturity Staircase.
4. Three Lines + Governance Body matrix.

Let me know if you want these graphics created or embedded in a slide deck.